



## NEW ACCOUNT APPLICATION FORM

### ACCOUNT HOLDER INFORMATION

<b>Name of primary account holder:</b>			
<b>Residential address:</b> (P.O. boxes are not permitted.)			
	City/State:	Zip Code:	Country:
<b>Mailing Address</b>			
<input type="checkbox"/> Use my residential address details.			
	City/State:	Zip Code:	Country:
<b>Home telephone number:</b> Include country and area code			
<b>Mobile telephone number:</b> Include country and area code			
<b>Email address:</b>			

<b>Date of birth:</b>	/ /	<b>Nationality:</b>	
<b>Occupation:</b>		<b>Office telephone number:</b> Include country and area code.	
<b>Employer:</b> <input type="checkbox"/> Self-employed		<b>Office fax number:</b> Include country and area code.	

<b>Secondary account holder:</b>			
<b>Relationship to primary holder:</b>			
<b>Name of secondary account holder:</b>			
<b>Residential address:</b> (P.O. boxes are not permitted.)			
	City/State:	Zip Code:	Country:
<b>Contact telephone number:</b> Include country and area code			

### FINANCIAL INFORMATION

<b>Yearly income:</b> Exclude applicable income tax. (note currency denoted in USD)					
<input type="checkbox"/> \$< - \$50,000	<input type="checkbox"/> \$50,000 - \$75,000	<input type="checkbox"/> \$75,000 - \$100,000	<input type="checkbox"/> \$100,000 - 150,000	<input type="checkbox"/> \$150,000 - \$300,000	
<input type="checkbox"/> \$300,000 - \$500,000	<input type="checkbox"/> \$500,000 - 750,000	<input type="checkbox"/> \$750,000 - \$1,000,000	<input type="checkbox"/> \$1,000,000 - \$2,000,000	<input type="checkbox"/> \$2,000,000 - \$2,000,000+	
<b>Net worth:</b> Exclude primary residence. (note currency denoted in USD)					
<input type="checkbox"/> \$< - \$50,000	<input type="checkbox"/> \$50,000 - \$75,000	<input type="checkbox"/> \$75,000 - \$100,000	<input type="checkbox"/> \$100,000 - 150,000	<input type="checkbox"/> \$150,000 - \$300,000	
<input type="checkbox"/> \$300,000 - \$500,000	<input type="checkbox"/> \$500,000 - 750,000	<input type="checkbox"/> \$750,000 - \$1,000,000	<input type="checkbox"/> \$1,000,000 - \$2,000,000	<input type="checkbox"/> \$2,000,000 - \$2,000,000+	
<b>Liquid net worth:</b> (note currency denoted in USD)					
<input type="checkbox"/> \$< - \$50,000	<input type="checkbox"/> \$50,000 - \$75,000	<input type="checkbox"/> \$75,000 - \$100,000	<input type="checkbox"/> \$100,000 - 150,000	<input type="checkbox"/> \$150,000 - \$300,000	
<input type="checkbox"/> \$300,000 - \$500,000	<input type="checkbox"/> \$500,000 - 750,000	<input type="checkbox"/> \$750,000 - \$1,000,000	<input type="checkbox"/> \$1,000,000 - \$2,000,000	<input type="checkbox"/> \$2,000,000 - \$2,000,000+	
<b>Outstanding debt:</b> (note currency denoted in USD)					
<input type="checkbox"/> \$< - \$50,000	<input type="checkbox"/> \$50,000 - \$75,000	<input type="checkbox"/> \$75,000 - \$100,000	<input type="checkbox"/> \$100,000 - 150,000	<input type="checkbox"/> \$150,000 - \$300,000	
<input type="checkbox"/> \$300,000 - \$500,000	<input type="checkbox"/> \$500,000 - 750,000	<input type="checkbox"/> \$750,000 - \$1,000,000	<input type="checkbox"/> \$1,000,000 - \$2,000,000	<input type="checkbox"/> \$2,000,000 - \$2,000,000+	



<u>NEW INVESTORS</u>							
<b>This is my first investment with Mercer Capital Management</b> <input type="checkbox"/> Yes <input type="checkbox"/> No							
<b>Please list your investment objectives:</b> If you select more than one investment objective, please circle a letter to prioritize their importance.							
<input type="checkbox"/>	Long term growth with safety (long term capital appreciation with relative safety of principle).	<b>A</b>	<b>B</b>	<b>C</b>	<b>D</b>	<b>E</b>	<b>F</b>
<input type="checkbox"/>	Short term growth with high risk (appreciation with acceptance of principal).	<b>A</b>	<b>B</b>	<b>C</b>	<b>D</b>	<b>E</b>	<b>F</b>
<input type="checkbox"/>	Speculative (investment value increased - High Risk).	<b>A</b>	<b>B</b>	<b>C</b>	<b>D</b>	<b>E</b>	<b>F</b>
<input type="checkbox"/>	Income (proceeds of trades as income source).	<b>A</b>	<b>B</b>	<b>C</b>	<b>D</b>	<b>E</b>	<b>F</b>
<input type="checkbox"/>	Growth and income (preserves as much capital as possible)	<b>A</b>	<b>B</b>	<b>C</b>	<b>D</b>	<b>E</b>	<b>F</b>
<input type="checkbox"/>	Long term growth with greater risk - Aggressive growth (trades made on volatile terms).	<b>A</b>	<b>B</b>	<b>C</b>	<b>D</b>	<b>E</b>	<b>F</b>
<input type="checkbox"/>	All of the above.						
<input type="checkbox"/>	Please explain the difference to me.						

If this is your first investment, you may leave this section blank.

<u>EXPERIENCED INVESTORS</u>
<b>I currently hold investments and have been actively trading for (     ) years.</b>
<b>I am investing in (please tick the relevant box)</b> <input type="checkbox"/> Options <input type="checkbox"/> Stocks <input type="checkbox"/> Bonds <input type="checkbox"/> Commodities <input type="checkbox"/> Futures <input type="checkbox"/> Others (please specify) _____

Are you or your spouse exchange members or registered with the commodity futures Trading Commission (CFTC) or the Securities Exchange Commission (SEC) in any capacity, if so please state exchange membership and/or registration details below:

Does this apply to you, please circle the appropriate answer:                      YES                      NO

If yes please use this space to give the details:

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Request for Taxpayer Identification Number. I certify under the penalty of perjury that:

Enter Social Security Number: \_ \_ \_ : \_ \_ : \_ \_ \_ \_

1. The Number shown above is the correct Taxpayer Identification Number and that:
2. I am not subject to Backup Withholding because I am exempt from Backup Withholding or I have not been notified by the IRS that I am not subject to Backup Withholding due to failure to report all Interest or Dividends or the IRS has notified me that I am no longer subject to Backup Withholding.

**NON-US CITIZENS:** If this line \_\_\_\_\_ is initialed. I am a Non-US Citizen and Non-US resident, and nor have I been, and do not plan to be in U.S. for more that 183 days in any calendar year, I am not engaged, and do not plan to be engaged during this year in a U.S. Business that effectively connected gains from broker transaction or my Country of Citizenship has a Tax Treaty with the U.S. that exempts transactions from the U.S.

	<b>Primary Account Holder</b>	<b>Secondary Account Holder</b>
<b>Date</b> Current date	_____ / _____ / _____	_____ / _____ / _____
<b>Name</b> Full name in print	_____	_____
<b>Signature</b>	_____	_____



COMMODITY CUSTOMER AGREEMENT

Regulation 1.55

The Risk of loss in trading Commodity Futures contracts and Options can be substantial. You should therefore carefully consider whether such trading is suitable for you in light of your circumstances, and financial resources. In considering whether to trade you should be aware of the following points.

- 1) You may sustain a total loss of the initial margin funds and any additional funds that you deposit with your broker to establish or maintain a position in the commodity futures market, and you may incur losses beyond these amounts. If the market moves against your position, you may be called upon to deposit a substantial amount of additional margin funds, on short notice, to maintain your market position. If you do not provide the required funds within the time required by your broker, your position may be liquidated at a loss (please note that this is applicable to futures trading only and not call/put options trading).
- 2) Under certain market conditions it may be difficult or impossible to liquidate a position. This can occur, for example when the market reaches a daily fluctuation limit. (Limit Move) or through government intervention.
- 3) Placing contingency orders such as "stop loss" or "limit orders" will not necessarily limit your losses to the intended amount(s), since market conditions on the exchange where your trade is placed may make it impossible to execute such orders.
- 4) All futures positions involve risk, and a "spread" position may not be less risky than an outright "long" or "short" position.
- 5) The high degree of leveraging (gearing) that is often available in futures, and option, trading can work against you as well as for you.
- 6) You should consult your broker as to the nature of the protections available to safeguard funds or property deposited for your account.
- 7) Your profit/loss may be affected by the fluctuations in cross currencies, if you are required to convert currencies.

THIS BRIEF STATEMENT CANNOT, OF COURSE, DISCLOSE ALL THE RISK AND OTHER ASPECTS OF COMMODITY TRADING

I acknowledge that I have received a copy of and understand the risk disclosure statement.

SIGNATURE: \_\_\_\_\_ JOINT OWNER: \_\_\_\_\_ DATE: \_\_\_\_\_